

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Robert A. Scott et al.

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Serial No.: 09/836,627

Art Unit : 1615

MAY 18 2005

Filed : 4/17/2001

Examiner : Humera N. Shelkh

For : ENTERIC AND COLONIC DELIVERY USING HPMC CAPSULES

Date : 5/18/05

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449 submitted in accordance with 37 C.F.R. § § 1.98 (a) (1) and 1.98 (b). It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein. In accordance with 37 C.F.R. § 1.97 (b) (3), this Information Disclosure Statement is being submitted before the mailing of a first Office Action on the merits. The relevance of the non-English references are as described in the relevant abstracts. No translations have been prepared or are within the undersigned's control.

While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. § § 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

CERTIFICATE OF TRANSMISSION VIA FACSIMILE

I hereby certify that this Information Disclosure Statement and the documents referred to as enclosed herein are being facsimile transmitted on this May 18, 2005 date, to fax # 703 872-9306 to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450


Christine Avenoso

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Please see attached status statement under 37 C.F.R. 1.97.

No fees are believed to be due, but if any fee is due, charge any additional fees to Deposit Account No. 23-0455.

Respectfully submitted,

Date:

5/18/05



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Form PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 6514		SERIAL NO. 09/836,627	
INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				APPLICANT Robert A. Scott et al.			
				FILING DATE April 17, 2001		GROUP ART UNIT 1615	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	REF	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
		4,138,013	2/6/1979	Yakutaro Okajima	206	528	6/13/1977
FOREIGN PATENT DOCUMENTS							
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
EXAMINER					DATE CONSIDERED		
* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.							